SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person BROUGHTON THOMAS A						2. Issuer Name and Ticker or Trading Symbol <u>ServisFirst Bancshares, Inc.</u> [SFBS]								(Check	all applicab Director	- ,		, 10% Ov		
(Last) (First) (Middle) 4229 OLD BROOK TRAIL					3. Date of Earliest Transaction (Month/Day/Year) 04/20/2023								- X	X Officer (give title Other (specify below) below) Chairman, President, & CEO						
(Street) BIRMINGHAM AL 35243					4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zi	able I - No	on-De	rivativ	ve Se	ecuritie	s Acc	wired	Dis	nosed of	or Bene	ficia	ally Ow	ned					
1. Title of Security (Instr. 3) 2. Trans Date					ansaction		2A. Deemed Execution Date, if any (Month/Day/Year)	3.		4. Securities Acquired (A) or Di Of (D) (Instr. 3, 4 and 5)				· · · · · · · · · · · · · · · · · · ·		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	(A) or (D)	Pr	rice	(Instr. 3 an				(1130. 4)	
Common Stock 04/20					20/2023				Р		3,000	Α	\$4	49.951 ⁽¹⁾	259,691 ⁽²⁾			D		
Common Stock														55,138			Ι	By Wife		
Common Stock														497,812			Ι	TAB2, LLC ⁽³⁾⁽⁴⁾		
												or Benefic e securit			d					
1. Title of Derivative Security (Instr. 3) 2. Conversion or Exercise Derivative Security 3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date if any (Month/Day/Year)			Date,	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Securities I Derivative 5 3 and 4)	Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	e s ally g	e Ownership Form: Ily Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Explanation of Responses:

1. The price reported in column 4 is a weighted average price. The shares were bought in multiple transactions ranging in price from \$49.79 to \$50.01, inclusive. The reporting person undertakes to provide ServisFirst Bancshares, Inc., any security holder of ServisFirst Bancshares, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares bought at each price set forth in this footnote to this Form 4

Date Exercisable

Expiration

Title

Date

2. Includes 10,810 shares of restricted stock.

3. Shares are held by a GRAT for the benefit of Mr. Broughton's children, for which Mr. Broughton retains the power of substitution.

4. Does not include 190,000 shares held by TAB2, LLC and 300,000 shares held by TAB3, LLC, which are managed by a third party manager.

Davis Mange, Attorney-In-Fact ** Signature of Reporting Person

Amount or Number of

Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

v

Code

(A)

(D)

04/20/2023

Date