

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

|  |           |
|--|-----------|
| OMB APPROVAL                                   |           |
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |          |   |  |  |  |  |  |
|---|---------|----------|---|--|--|--|--|--|
| 1. Name and Address of Reporting Person *<br><b>Pouncey Clarence C. III</b> |         |          | 2. Issuer Name and Ticker or Trading Symbol<br><b>ServisFirst Bancshares, Inc. [SFBS]</b> |  |  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)<br><div style="border: 1px solid black; padding: 2px; width: fit-content; margin-left: 100px;">COO &amp; EVP</div> |  |  |
| (Last)<br><b>P. O. BOX 1508</b>   | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br><b>12/02/2020</b>                     |  |  | 6. Individual or Joint/Group Filing (Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person   |  |  |
| (Street)<br><b>BIRMINGHAM, AL 35201-1508</b>                                |         |          | 4. If Amendment, Date Original Filed (Month/Day/Year)                                     |  |  |  |  |  |
| (City)  | (State) | (Zip)    | <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>   |  |  |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |           | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|-----------|---|--|---|
|                                 |                                      |  | Code                           | V | Amount  | (A) or (D) | Price     |   |  |   |
| COMMON STOCK                    | 12/02/2020                           |  | S                              |   | 4   | D          | \$ 38.95  | 19,129  | I  | By entity in which spouse owns interest               |
| COMMONS STOCK                   | 12/03/2020                           |  | S                              |   | 330   | D          | \$ 38.95  | 18,799  | I  | By entity in which spouse holds interest              |
| COMMON STOCK                    | 12/07/2020                           |  | S                              |   | 666   | D          | \$ 39.485 | 18,133  | I  | By entity in which spouse holds interest              |
| COMMON STOCK                    | 12/08/2020                           |  | S                              |   | 1,000   | D          | \$ 40.015 | 17,133  | I  | By entity in which spouse holds interest              |
| COMMON STOCK                    | 12/09/2020                           |  | S                              |   | 2,000   | D          | \$ 40.502 | 15,133 <a href="#">(1)</a>  | I  | By entity in which spouse holds interest              |
| COMMON STOCK                    |                                      |  |                                |   |   |            |           | 690,806   | D  |   |
| COMMON STOCK                    |                                      |  |                                |   |   |            |           | 6,000   | I  | By Pouncey Education Trust                            |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   |     |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|-----|-----|--|-----------------|---|--|--|--|--|
|  |  |                                      |  | Code                           | V | (A) | (D) | Date Exercisable   | Expiration Date |   |  |  |  |  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |           |       |
|--|---------------|-----------|-----------|-------|
|  | Director      | 10% Owner | Officer   | Other |
| Pouncey Clarence C. III<br>P. O. BOX 1508<br>BIRMINGHAM, AL 35201-1508 |               |           | COO & EVP |       |

## Signatures

|                                     |            |
|-------------------------------------|------------|
| /s/Davis S. Mange, attorney-in-fact | 12/15/2020 |
| **Signature of Reporting Person     | Date       |

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The spouse of the reporting person holds a 33 1/3% interest in the securities held by LeCroy Properties, Ltd., and reports only that 33 1/3% interest in the transaction and (1) holding reported herein. The reporting person disclaims beneficial ownership of securities held by LeCroy Properties, Ltd. in excess of the amount reported herein, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.