

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d)  
of the Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): June 8, 2021**

**SERVISFIRST BANCSHARES, INC.**

(Exact name of registrant as specified in its charter)

**Delaware**  
(State or Other Jurisdiction of Incorporation)

**001-36452**  
(Commission File Number)

**26-0734029**  
(I.R.S. Employer Identification No.)

**2500 Woodcrest Place**  
**Homewood, Alabama 35209**  
(Address of Principal Executive Offices) (Zip Code)

**(205) 949-0302**  
(Registrant's telephone number, including area code)

**Not Applicable**  
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common	SFBS	Nasdaq Global Select Market

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 3.01. Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing.**

On June 8, 2021, ServisFirst Bancshares, Inc. (the “Company”) announced that it intends to list its common stock, par value \$.001 per share (“Common Stock”), on the New York Stock Exchange (“NYSE”). The Board of Directors of the Company approved the transfer on April 19, 2021. The Company notified the Nasdaq Global Select Market (“Nasdaq”) that it intends to withdraw its listing of Common Stock from Nasdaq effective as of the close of trading on June 18, 2021 and expects to commence trading on the NYSE the following business day, June 21, 2021. The Common Stock will continue to trade under the stock symbol “SFBS.”

**Item 7.01. Regulation FD Disclosure.**

A copy of the press release announcing the anticipated transfer to the NYSE is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

The information in this report is being furnished, not filed, pursuant to Regulation FD. Accordingly, the information in Items 7.01 and 9.01 of this report will not be incorporated by reference into any registration statement filed by the Company under the Securities Act of 1933, as amended, unless specifically identified therein as being incorporated therein by reference.

**Item 9.01. Financial Statements and Exhibits.**

- (a) Not applicable
- (b) Not applicable
- (c) Not applicable
- (d) **Exhibits.** The following exhibits are included with this Current Report on Form 8-K:

<u>Exhibit Number</u>	<u>Description</u>
<a href="#">99.1</a>	<a href="#">Press Release dated June 8, 2021</a>
104	Cover Page Interactive Data File (embedded within the Inline XBRL document)

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**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**ServisFirst Bancshares, Inc.**

Date: June 8, 2021

By: /s/ Thomas A. Broughton, III  
Thomas A. Broughton, III  
Chairman, President and Chief Executive Officer



### **ServisFirst Bancshares, Inc. Announces Transfer Listing of Common Stock to the New York Stock Exchange**

BIRMINGHAM, Ala., June 08, 2021 (GLOBE NEWSWIRE) -- ServisFirst Bancshares, Inc. (NASDAQ: SFBS) today announced that it is transferring the listing of its common stock to the New York Stock Exchange (NYSE) from The NASDAQ Global Select Market. ServisFirst Bancshares's common stock will begin trading on the NYSE on June 21, 2021 under its current ticker symbol of 'SFBS'. ServisFirst Bancshares will continue to trade its common stock on NASDAQ until the close of the market on June 18, 2021.

"ServisFirst Bancshares is pleased to join the NYSE, the global leader in listings with many of the world's most well-established companies, to continue to provide long term value for our customers and shareholders," stated Tom Broughton, ServisFirst Bancshares Chairman, President and CEO.

"We are excited to welcome ServisFirst Bancshares, one of the country's leading regional banks, as it transfers its listing to the NYSE," said John Tuttle, Vice Chairman and Chief Commercial Officer, NYSE Group.

#### **ABOUT SERVISFIRST BANK**

ServisFirst Bank is a full-service commercial bank focused on commercial banking, correspondent banking, cash management, private banking and the professional consumer market, emphasizing competitive products, state of the art technology and a focus on quality service. The Bank offers sophisticated cash management products, Internet banking, home mortgage lending, remote deposit express banking, and highly competitive rates.

ServisFirst Bank was formed in May 2005, and has offices in Atlanta, Birmingham, Charleston, Dothan, Huntsville, Mobile, Montgomery, Nashville, Northwest Florida, and West Central Florida. In April 2015, and annually thereafter, ServisFirst Bank has earned investment-grade ratings and a stable outlook from Kroll Bond Rating Agency (KBRA), which measures companies' financial fundamentals. ServisFirst Bancshares, Inc. files periodic reports with the U.S. Securities and Exchange Commission (SEC). Copies of its filings may be obtained at [www.servisfirstbancshares.com](http://www.servisfirstbancshares.com).

#### **FOR INFORMATION CONTACT**

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